

Roll.No.

21UPACT4011

SHRIMATHI DEVKUNVAR NANALAL BHATT VAISHNAV COLLEGE FOR WOMEN  
(AUTONOMOUS)

(Affiliated to the University of Madras and Re-accredited with 'A+' Grade by NAAC)  
Chromepet, Chennai - 600 044.

B.Com. PA - END SEMESTER EXAMINATIONS - NOVEMBER 2025

SEMESTER - IV

**21UPACT4011 - Capital Markets**

Total Duration : 2 Hrs.30 Mins.

Total Marks : 60

### Section B

Answer any **SIX** questions (6 × 5 = 30 Marks)

1. Explain the difference between the Primary Market and the Secondary Market in the Indian Financial System.
2. Sketch the key steps involved in the registration of a company under the Companies Act, 2013.
3. List the main objectives of SEBI in regulating the Indian financial markets?
4. Classify any four key differences between the National Stock Exchange (NSE) and the Bombay Stock Exchange (BSE).
5. What are Depository Participants (DPs)? Mention any two types of DPs and their primary functions.
6. State ODIN in the context of online trading, and how does it benefit traders and brokers?
7. Justify the purpose of Real-Time Gross Settlement (RTGS) and how does it differ from National Electronic Funds Transfer (NEFT)?
8. Differentiate between RTGS and NEFT in terms of processing time, transaction limits, and settlement mechanism.

### Section C

Answer any **THREE** questions (3 × 10 = 30 Marks)

9. Describe the major components of the Indian Financial System. Explain the role of each component in economic development.
10. Prepare the structure and functions of SEBI. Discuss any four major regulations enforced by SEBI to ensure investor protection and market transparency.
11. Justify the structure and functioning of the NSE's Cash Market and F&O Segment. How do they facilitate investor participation and price discovery?

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12. Compare the online trading operations using ODIN across different segments— Equity (NSE & BSE) and Commodity Markets (NCDEX & MCX). Highlight similarities and differences in trading processes, user interface, and regulatory oversight.
13. Determine the various types of Mutual Funds (MFs) such as equity, debt, hybrid, and index funds. Describe how mutual funds operate and generate returns for investors.

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