

Roll.No.

24UBCAT4004

SHRIMATHI DEVKUNVAR NANALAL BHATT VAISHNAV COLLEGE FOR WOMEN
(AUTONOMOUS)

(Affiliated to the University of Madras and Re-accredited with 'A+' Grade by NAAC)
Chromepet, Chennai - 600 044.

B.Com. CS - END SEMESTER EXAMINATIONS - NOVEMBER 2025
SEMESTER - IV

24UBCAT4004 - Securities Law and Regulation of Financial Markets

Total Duration : 2 Hrs.30 Mins.

Total Marks : 60

Section B

Answer any **SIX** questions (6 × 5 = 30 Marks)

1. Explain the functions of the New Issue Market.
2. Describe the advantages and risks associated with hedge funds.
3. Differentiate between Equity Shares and Preference Shares.
4. Describe the role of CRISIL and its contribution to the Indian capital market.
5. What is a stock exchange? Discuss its main functions and importance.
6. Write a short note on futures contracts with an example.
7. Differentiate between ADR and GDR with suitable examples.
8. Elaborate on the objectives and operations of CARE Ratings in India.

Section C

Answer any **THREE** questions (3 × 10 = 30 Marks)

9. Analyze the interrelationship among the players involved in the New Issue Market.
10. Discuss the different types of brokers in Indian stock markets.
11. Examine the role of depositories in stock trading.
12. Explain the concept of Mutual Funds. Discuss their types.
13. Evaluate the functions of credit rating agencies in India.
