

SHRIMATHI DEVKUNVAR NANALAL BHATT VAISHNAV COLLEGE FOR WOMEN  
(AUTONOMOUS)

(Affiliated to the University of Madras and Re-accredited with 'A+' Grade by NAAC)  
Chromepet, Chennai - 600 044.

B.Com.CA- END SEMESTER EXAMINATIONS - APRIL 2025  
SEMESTER -VI

**21UCCCT6015 - Financial Services**

Total Duration : 2 Hrs.30 Mins.

Total Marks : 60

**Section B**

Answer any **SIX** questions ( $6 \times 5 = 30$  Marks)

1. What are the main objectives of financial services? How do they benefit the economy?
2. What are the steps involved in the public issue management process? Explain briefly.
3. Why are stock exchanges regulated in India? Explain the importance of these regulations.
4. What are the key characteristics of leasing? Explain the different types of leasing arrangements.
5. What is a credit rating system? How does it help investors and businesses in decision-making?
6. Describe the different categories of securities issue. How do they differ from each other in terms of structure and process?
7. Who are the key constituents of the Indian capital market? Describe their roles.
8. Analyze the main participants in a leasing agreement. How does each participant play a role in the agreement?

**Section C**

Answer any **THREE** questions ( $3 \times 10 = 30$  Marks)

9. What are the steps can be taken to improve the financial services market in India? Explain with examples.
10. What is the difference between the new issues market and the secondary market? Explain in detail.
11. Synthesize the functions of SEBI and explain how it regulates the capital market to ensure investor protection.
12. Who are the main players in the factoring process? Explain their roles briefly.
13. What is the origin of venture capital? How has it grown over time?

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