

SHRIMATHI DEVKUNVAR NANALAL BHATT VAISHNAV COLLEGE FOR WOMEN
(AUTONOMOUS)

(Affiliated to the University of Madras and Re-accredited with 'A+' Grade by NAAC)

Chromepet, Chennai - 600 044.

B.Com.Honours - END SEMESTER EXAMINATIONS - APRIL 2025

SEMESTER - IV

24UBHCT4015 - Investment Banking

Total Duration : 2 Hrs. 30 Mins.

Total Marks : 60

Section B

Answer any **SIX** questions ($6 \times 5 = 30$ Marks)

1. Define investment banking and its primary functions.
2. Elucidate the role of investment banks play in financial markets.
3. Classify the term Transfer Agency.
4. Explain corporate actions with examples.
5. Briefly explain the stages of trade life cycle.
6. Discuss the main responsibilities of a fund administrator.
7. Analyse the key factors considered in pricing funds.
8. Define Artificial Intelligence (AI) and its role in banking.

Section C

I - Answer any **TWO** questions ($2 \times 10 = 20$ Marks)

9. Explain in detail the underwriting process and its types in investment banking.
10. Analyse the term (a) Safe Keeping & Settlements (b) Reporting & Record (c) Investible Cash.
11. Discuss in detail about divisions of Investment Banking.
12. Describe the NAV calculation process and its significance in fund pricing and valuation.

II - Compulsory question ($1 \times 10 = 10$ Marks)

13. Explain the ethical challenges of AI in banking, including bias, privacy, and compliance issues.
