

SHRIMATHI DEVKUNVAR NANALAL BHATT VAISHNAV COLLEGE FOR WOMEN
(AUTONOMOUS)

(Affiliated to the University of Madras and Re-accredited with 'A+' Grade by NAAC)

Chromepet, Chennai - 600 044.

B.Com. CS- END SEMESTER EXAMINATIONS - APRIL 2025

SEMESTER - IV

24UBCAT4004 - Securities Law and Regulation of Financial Markets

Total Duration : 2 Hrs.30 Mins.

Total Marks : 60

Section B

Answer any **SIX** questions ($6 \times 5 = 30$ Marks)

1. Explain the objectives of new issue market.
2. Illustrate the types of Underwriting in share Market.
3. Prepare the importance of stock exchange.
4. Determine the significance guidelines of SEBI in relating to Listing of Securities.
5. Explain the advantages of screen based trading.
6. Diagnose the importance of stock market index.
7. Classify the preference shares.
8. Prepare the objectives of credit rating.

Section C

Answer any **THREE** questions ($3 \times 10 = 30$ Marks)

9. Explain the important functions of new issue Market.
10. Elaborate the functions of NSE and BSE.
11. Ascertain the role of depositories.
12. Differentiate between equity shares and preference shares.
13. Assess the functions of credit rating in India.
